

CHAPTER 12
DEFENSE HEALTH PROGRAM
Table of Contents

1201 GENERAL..... 1
 120101 Purpose..... 1
 120102 Submission Requirements 1
 120103 Preparation of Material 1
 120104 References 1
1202 BUDGET ESTIMATES SUBMISSION..... 2
 120201 Purpose..... 2
 120202 Submission Requirements 2
1203 CONGRESSIONAL JUSTIFICATION/PRESENTATION..... 3
 120301 Purpose..... 3
 120302 Submission Requirements 3
1204 DEFENSE HEALTH PROGRAM SUBMISSION FORMATS..... 4
 120401 Purpose..... 4
 120402 Unique Exhibits in Support of the Defense Health Program 4

CHAPTER 12
DEFENSE HEALTH PROGRAM

1201 GENERAL**120101 Purpose**

A. This chapter provides instructions applicable to budget formulation and congressional justification for the Defense Health Program.

B. The following appropriations are covered as subsections of the Defense Health Program appropriation:

- Operation and Maintenance
- Procurement

120102 Submission Requirements

General guidance with regard to submission requirements is presented in Chapter 1. Chapter 12 covers specific backup material requirements for the above accounts. The ASD(HA) should also consult all of the other chapters of this regulation for exhibit requirements that are not specifically addressed in this chapter including Chapter 19 - Special Analyses. The ASD(HA) is also responsible for providing data to update the Budget Review System (BRS) and the Future Years Defense Plan (FYDP) automated systems for the Defense Health Program appropriation. The Military Components must submit any proposed military and civilian medical end strength changes to the BRS and FYDP through Health Affairs -- *TRICARE Management Activity (Resource Management)*.

120103 Preparation of Material

General guidance with regard to format and preparation of material is presented in Chapter 1. Chapter 12 provides additional specific guidance with regard to the back-up material required for the Defense Health Program.

120104 References

Chapter 3 provides guidance related to Operation and Maintenance costs and Chapter 4 provides guidance and formats related to Procurement appropriations.

1202 BUDGET ESTIMATES SUBMISSION**120201 Purpose**

This Section provides guidance for preparation and submission of budget estimates for the Defense Health Program. The budget estimates consist of all operation and maintenance and procurement resources that support the medical activities that were consolidated into the Defense Health Program.

120202 Submission Requirements

A. The Assistance Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance and procurement appropriations as specified in Chapters 3 and 4, respectively. *The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses.*

B. In addition, the Cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the USD(Comptroller). Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit should be provided to Office of the USD(C) P/B Operations and Personnel Directorate, Room 3D868, the Pentagon.

1203 CONGRESSIONAL JUSTIFICATION/PRESENTATION**120301 Purpose**

A. This Section presents the exhibit requirements for submission to Congress. Examples of budget exhibits can be found in Section 1204.

120302 Submission Requirements

A. The Assistant Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance and procurement appropriations as specified in Chapters 3 and 4, respectively. *The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses.*

B. In addition, the cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the Under Secretary of Defense (Comptroller) (USD(C)). Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit are provided at the end of this Chapter. Two copies of each exhibit should be provided to the OUSD (C) , Program/Budget, Operations and Personnel Directorate, Room 3D868, the Pentagon.

C. Two Copies of the PBA-9 (Medical Programs Exhibit for the O&M Overview) will be due to the OUSD(C), Program/Budget, Operations and Personnel Directorate at the time that the President's budget exhibits are due. The required format for the PBA-9 is provided at Chapter 3, Section 0304.

D. The OP-5 exhibit and other supporting exhibits will be prepared for each of the following activities. Meaningful performance criteria should be displayed for each activity.

- *In-House Care*
- *Private Sector Care*
- *Consolidated Health Support*
- *Information Management*
- *Management Activities*
- *Education and Training*
- *Base Operations/Communications*

1204 DEFENSE HEALTH PROGRAM SUBMISSION FORMATS

120401 Purpose

The formats provided on the following pages reflect guidance presented in previous sections of this chapter. Unless modified in a submission budget call, the formats should be followed.

120402 Unique Exhibits in Support of the Defense Health Program

<i>PB-11 Defense Health Program Funding Summary</i>	5
<i>PB-11A Defense Health Program Personnel Summary</i>	10
<i>PB-11B Medical Workload Data</i>	15

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding Summary
(Dollars in Thousands)

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2Change</u>	
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>

Operation and Maintenance

- In-House Care
- Private Sector Care
- Consolidated Health Support
- Information Management
- Management Activities
- Education and Training
- Base Operations/Communications
- Subtotal Operation and Maintenance

Procurement

- Dental Equipment
- Food Ser, Preventive Med, Pharmacy Equip
- Medical Information System Equipment
- Medical Patient Care Administrative Equip
- Medical/Surgical Equipment
- Other Equipment
- Pathology/Lab Equipment
- Radiographic Equipment
- Subtotal Procurement

Total Defense Health Program

Exhibit PB-11 Defense Health Program Funding Summary
(page 1 of 5)

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group
(Dollars in Thousands)

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>IN-HOUSE CARE</u>										
08077000										
08079000										
08077150										
08079150										
Subtotal In-House Care										
<u>PRIVATE SECTOR CARE</u>										
08077230										
08077120										
08077130										
Subtotal Private Sector Care										
<u>CONSOLIDATED HEALTH SUPPORT</u>										
08017200										
08077140										
08077050										
08077600										
08077240										
08077250										
08077850										
Subtotal Consolidated Health Support										
<u>INFORMATION MANAGEMENT</u>										
08077910										

Exhibit PB-11 Defense Health Program Funding Summary
(Page 2 of 5)

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group
(Dollars in Thousands)

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>MANAGEMENT ACTIVITIES</u>										
08077980										
08077090										
Subtotal Management Activities										
<u>EDUCATION AND TRAINING</u>										
08067220										
08067210										
08067610										
Subtotal Education and Training										
<u>BASE OPERATIONS/COMMUNICATIONS</u>										
08077760										
08079760										
08077780										
08079780										
08077790										
08079790										
08077950										
08079950										
08077960										
08079960										
08077530										
08077540										
08077560										
08077900										
Subtotal Base Ops/Comm										
TOTAL DHP - OPERATION AND MAINTENANCE										

Exhibit PB-11 Defense Health Program Funding Summary
(Page 3 of 5)

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group

(Dollars in Thousands)

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>

SPECIAL INTEREST O&M ITEMS

Uniformed Services Treatment Facilities (USTFs)

08077140 Other Health Activities

Regional Management (Lead Agents, Healthcare Support Offices, Regional Medical Commands)

Central Medical Laboratories

Army Medical Department Field Procurement Offices and Central Design Activity

Patient Related Travel

Other

Total Other Health Activities

08077240 Military Unique Requirements - Other Medical

Physiological Training Units

Drug Abuse Detection Laboratories

Military Blood Program Agency

Optical Repair/Fabrication Laboratories

Readiness Activities (including Medical Wartime Hospital Integration Office)

Walter Reed Army Institute of Research

Other

Total Military Unique Requirements – Other Medical

Per Capita Cost per user (Source: Resource Analysis & Planning System (RAPS) database)

*Exhibit PB-11 Defense Health Program Funding Summary
(Page 4 of 5)*

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Procurement Funding

(Dollars in Thousands)

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
807720 Initial Outfitting										
Dental Equipment										
Food Svc, Preventive Med, Pharmacy Equip										
Medical Information System Equipment										
Medical Patient Care Administrative Equip										
Medical/Surgical Equipment										
Other Equipment										
Pathology/Lab Equipment										
Radiographic Equipment										
Subtotal Initial Outfitting										
807721 Replacement & Modernization										
Dental Equipment										
Food Svc, Preventive Med, Pharmacy Equip										
Medical Information System Equipment										
Medical Patient Care Administrative Equip										
Medical/Surgical Equipment										
Other Equipment										
Pathology/Lab Equipment										
Radiographic Equipment										
Subtotal Replacement & modernization										
Total - Procurement										

This exhibit has been revised in its entirety.

Exhibit PB-11 Defense Health Program Funding Summary
(Page 5 of 5)

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM
Personnel Summary**

	<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>
	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>
<u>Active Military – Assigned to DHP</u>												
<u>Army Total</u>												
Officers												
Enlisted												
<u>Navy Total</u>												
Officers												
Enlisted												
<u>Air Force Total</u>												
Officers												
Enlisted												
<u>Total Active Duty</u>												
Officers												
Enlisted												
<u>Active Military - Non DHP Medical</u>												
<u>Army Total</u>												
Officers												
Enlisted												
<u>Navy Total</u>												
Officers												
Enlisted												
<u>Air Force Total</u>												
Officers												
Enlisted												
<u>Total Active Duty</u>												
Officers												
Enlisted												

Exhibit PB-11A Defense Health Program Personnel Summary
(Page 1 of 3)

DEPARTMENT OF DEFENSE

DEFENSE HEALTH PROGRAM

Personnel Summary

	<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	End	FTEs	End	FTEs	End	FTEs	End	FTEs	End	FTEs	End	FTEs
	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>
I. Civilian Personnel - US Direct Hire												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total												
II. Civilian Personnel - Foreign National Direct Hire												
Army												
Navy												
Air Force												
Total												
III. Civilian Personnel - Foreign National Indirect Hire												
Army												
Navy												
Air Force												
Total												
IV. Total Civilian Personnel												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total <i>1/</i>												
V. Summary Civilian Personnel												
U.S. Direct Hire												
Foreign National Direct Hire												
Foreign National Indirect Hire												
Total, Civilians <i>1/</i>												

1/ Includes reimbursable civilians - memo

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>
<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>

SPECIAL INTEREST MANPOWER (End Strength)

TRICARE Regional Lead Agents:

Military End Strength

Civilian End Strength

DEFENSE HEALTH PROGRAM

Medical Workload Data

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>CY-BY1</u>	<u>BY1-BY2</u>
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Population - Average Eligible Beneficiaries

Active Duty
Active Duty Dependents
CHAMPUS Eligible Retirees
CHAMPUS Eligible Dependents of Retirees
Subtotal CHAMPUS Eligibles
Over 65 Eligible Beneficiaries
Total Average Eligible Beneficiaries

Population - Average MHSS Reliants/Users

Active Duty
Active Duty Dependents
CHAMPUS Eligible Retirees
CHAMPUS Eligible Dependents of Retirees
Subtotal CHAMPUS Eligibles
Over 65 Eligible Beneficiaries
Total Average MHSS Reliants/Users

Enrollees - Direct Care (Enrollment Based Capitation)

TRICARE Region 1
TRICARE Region 2
TRICARE Region 3
TRICARE Region 4
TRICARE Region 5
TRICARE Region 6
TRICARE Region 7
TRICARE Region 8
TRICARE Region 9
TRICARE Region 10
TRICARE Region 11
Total Regions 1-11

Exhibit PB-11B Medical Workload Data
(Page 1 of 4)

DEFENSE HEALTH PROGRAM

Medical Workload Data

FY PY	FY CY	FY BY1	FY BY2	CY-BY1	BY1-BY2
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Enrollees - Direct Care (Enrollment Based Capitation)

Overseas

Total Direct Care

Enrollees (Managed Care Support Contract)

TRICARE Region 1

TRICARE Region 2

TRICARE Region 3

TRICARE Region 4

TRICARE Region 5

TRICARE Region 6

TRICARE Region 7

TRICARE Region 8

TRICARE Region 9

TRICARE Region 10

TRICARE Region 11

Total Regions 1-11

Overseas

Total MCS Contracts

Infrastructure

Hospitals/Medical Centers

Operating Beds

Medical Clinics

Exhibit PB-11B Medical Workload Data

(Page 2 of 4)

DEFENSE HEALTH PROGRAM

Medical Workload Data

FY PY	FY CY	FY BY1	FY BY2	CY-BY1	BY1-BY2
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Direct Care System Workload

Dispositions (from MEPRS)
Inpatient Work Units (IWUs)
Occupied Bed Days (from MEPRS)
Average Length of Stays (Bed Days/Disposition)
Total Ambulatory Visits (from MEPRS)
Occupational Health AWUs

Dental Workload (Composite Time Values (CTVs))

CONUS
OCONUS
Total CTVs

CONUS
Active Duty
Non-Active Duty
Total CONUS

OCONUS
Active Duty
Non-Active Duty
Total OCONUS

Uniformed Services Treatment Facilities (USTFs)

Enrollees (DoD only)

Exhibit PB-11B Medical Workload Data

(Page 3 of 4)

**DEFENSE HEALTH PROGRAM
Medical Workload Data Exhibit**

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>CY-BY1</u> <u>Change</u>	<u>BY1-BY2</u> <u>Change</u>
<u>Private Sector Workload</u>						
Standard CHAMPUS Workload - Total						
Inpatient Admissions						
Outpatient Visits						
<i>Family Member Dental Program</i>						
Enrollees						
<i>Selective Reserve Dental Program</i>						
Enrollees						
Managed Health Care Support Contracts						
Inpatient Admissions						
Outpatient Visits						