

CHAPTER 12
DEFENSE HEALTH PROGRAM
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CHAPTER 12
DEFENSE HEALTH PROGRAM

1201 GENERAL**120101 Purpose**

A. This chapter provides instructions applicable to budget formulation and congressional justification for the Defense Health Program.

B. The following appropriations are covered as subsections of the Defense Health Program appropriation:

- Operation and Maintenance
- Procurement
- Research, Development, Test and Evaluation

120102 Submission Requirements

General guidance with regard to submission requirements is presented in Chapter 1. Chapter 12 covers specific backup material requirements for the above accounts. The ASD(HA) should also consult all of the other chapters of this regulation for exhibit requirements that are not specifically addressed in this chapter including Chapter 19 - Special Analyses. The ASD(HA) is also responsible for providing data to update the Comptroller Information System (CIS) and the Future Years Defense Program (FYDP) automated systems for the Defense Health Program appropriation. The Military Components must reconcile all DHP personnel data with, and submit all proposed military and civilian medical personnel changes to the CIS and FYDP through the TRICARE Management Activity (Resource Management). (See Volume 2A, Chapter 1 and Volume 2B, Chapter 19, of this regulation)

120103 Preparation of Material

General guidance with regard to format and preparation of material is presented in Chapter 1. Chapter 12 provides additional specific guidance with regard to the back-up material required for the Defense Health Program. (See Volume 2A, Chapter 1, of this regulation)

120104 References

Chapter 3 provides guidance related to Operation and Maintenance costs, Chapter 4 provides guidance and formats related to Procurement appropriations, and Chapter 5 provides guidance and formats related to Research, Development, Test and Evaluation appropriations. (See Volume 2A, Chapter 3 and Volume 2B, Chapter 4, of this regulation)

1202 PROGRAM AND BUDGET REVIEW SUBMISSION**120201 Purpose**

This Section provides guidance for preparation and submission of budget estimates for the Defense Health Program. The budget estimates consist of all operation and maintenance, procurement, and research, development, test and evaluation resources that support the medical activities that were consolidated into the Defense Health Program.

120202 Submission Requirements

A. The Assistant Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance, procurement, and research, development, test and evaluation appropriations as specified in Chapters 3, 4, and 5 respectively. The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses. (See Volume 2A, Chapter 3 and Volume 2B, Chapters 4, 5, and 19, of this regulation)

B. In addition, the Cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the USD (Comptroller) *concurrent with the program and budget review submission*. Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit should be provided to the Office of the USD(C) P/B Operations and Personnel Directorate, Room 3D868, the Pentagon.

C. *Exhibits PB-11, PB-11a and PB-11b will be submitted for the DHP as a whole and for the Army, Navy, and Air Force by ASD(HA).*

D. *For all updates of the OUSD(Comptroller) automated budget system databases, DoD Components must reconcile all DHP personnel data with, and submit all proposed military and civilian medical personnel changes through the TRICARE Management Activity Resource Management office.*

1203 CONGRESSIONAL JUSTIFICATION/PRESENTATION**120301 Purpose**

A. This Section presents the exhibit requirements for submission to Congress. Examples of budget exhibits can be found in Section 1204.

120302 Submission Requirements

A. The Assistant Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance, procurement, and research, development, test and evaluation appropriations as specified in Chapters 3, 4, and 5 respectively. The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses. (See Volume 2A, Chapter 3 and Volume 2B, Chapters 4, 5, and 19, of this regulation)

B. In addition, the cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the Under Secretary of Defense (Comptroller) (USD(C)) *for the DHP as a whole and for the Army, Navy, and the Air Force*. Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit are provided at the end of this Chapter. Two copies of each exhibit should be provided to the OUSD (C), Program/Budget, Operations and Personnel Directorate, Room 3D868, the Pentagon.

C. Two Copies of the PBA-9 (Medical Programs Exhibit for the O&M Overview) will be due to the OUSD(C), Program/Budget, Operations and Personnel Directorate at the time that the President's budget exhibits are due. The required format for the PBA-9 is provided at Chapter 3, Section 0304 (See Volume 2A, Chapter 3, section 0304).

D. The OP-5 exhibit and other supporting exhibits will be prepared for each of the following activities. Meaningful performance criteria should be displayed for each activity.

- In-House Care
- Private Sector Care
- Consolidated Health Support
- Information Management
- Management Activities
- Education and Training
- Base Operations/Communications

1204 DEFENSE HEALTH PROGRAM SUBMISSION FORMATS

120401 Purpose

The formats provided on the following pages reflect guidance presented in previous sections of this chapter. Unless modified in a submission budget call, the formats should be followed.

120402 Unique Exhibits in Support of the Defense Health Program

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PB-11A Defense Health Program Personnel Summary 10
PB-11B Medical Workload and Productivity Data 13

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

**Defense Health Program Funding by Subactivity Group
(Dollars in Thousands)**

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>
<u>IN-HOUSE CARE</u>										
08077000 Defense Medical Centers, Hospitals & Medical Clinics - CONUS										
08079000 Defense Medical Centers, Hospitals & Medical Clinics - OCONUS										
08077010 Pharmaceuticals, CONUS										
08079010 Pharmaceuticals, OCONUS										
08077150 Dental Care Activities - CONUS										
08079150 Dental Care Activities - OCONUS										
Subtotal In-House Care										
 <u>PRIVATE SECTOR CARE</u>										
08077020 Pharmaceuticals										
08077230 TRICARE Managed Care Support (MCS) Contracts										
08077130 Purchased Health Care										
Subtotal Private Sector Care										
 <u>CONSOLIDATED HEALTH SUPPORT</u>										
08017200 Examining Activities										
08077140 Other Health Activities										
08077050 Military Public/Occupational Health										
08077600 Veterinary Services										
08077240 Military Unique Requirements - Other Medical										
08077250 Aeromedical Evacuation System										
08077850 Armed Forces Institute of Pathology (AFIP)										
Subtotal Consolidated Health Support										
 <u>INFORMATION MANAGEMENT</u>										
08077810 Non-Central Information Management/Information Technology										
08077910 MHS Tri-Service Information Management/Information Technology										
Subtotal Information Management										

**Exhibit PB-11 Defense Health Program Funding Summary
(Page 1 of 4)**

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

**Defense Health Program Funding by Subactivity Group
(Dollars in Thousands)**

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>PY-CY Change</u> <u>\$</u> <u>(%)</u>	<u>CY-BY1 Change</u> <u>\$</u> <u>(%)</u>	<u>BY1-BY2 Change</u> <u>\$</u> <u>(%)</u>
<u>MANAGEMENT ACTIVITIES</u>							
08077980							
Management Headquarters							
08077090							
TRICARE Management Activity							
Subtotal Management Activities							
<u>EDUCATION AND TRAINING</u>							
08067220							
Armed Forces Health Professions Scholarship Program							
08067210							
Uniformed Services University of the Health Sciences							
08067610							
Other Education and Training							
Subtotal Education and Training							
<u>BASE OPERATIONS/COMMUNICATIONS</u>							
08062760							
Facilities Restoration and Modernization – CONUS							
08863760							
Facilities Restoration and Modernization – OCONUS							
08062780							
Facilities Sustainment – CONUS							
08063780							
Facilities Sustainment - OCONUS							
08077760							
Minor Construction – CONUS							
08079760							
Minor Construction – OCONUS							
08077780							
Maintenance and Repair - CONUS							
08079780							
Maintenance and Repair – OCONUS							
08077790							
Real Property Services - CONUS							
08079790							
Real Property Services - OCONUS							
08077950							
Base Communications - CONUS							
08079950							
Base Communications - OCONUS							
08077960							
Base Operations - CONUS							
08079960							
Base Operations - OCONUS							
08077530							
Environmental Conservation							
08077540							
Pollution Prevention							
08077560							
Environmental Compliance							
08077900							
Visual Information Systems							
Subtotal Base Ops/Comm							
Subtotal DHP Operation and Maintenance							

Exhibit PB-11 Defense Health Program Funding Summary

(Page 2 of 4)

Procurement (PE 08077200 and PE 08077210)

Dental Equipment
Food Ser, Preventive Med, Pharmacy Equip
Medical Information System Equipment
Medical Patient Care Administrative Equip
Medical/Surgical Equipment
Other Equipment
Pathology/Lab Equipment
Radiographic Equipment
Subtotal Procurement

Research, Development, Test and Evaluation

63115 Medical Development
65502 Small Business Innovative Research (SBIR) Program
65013 Information Technology Development
Subtotal RDT&E

Total Defense Health Program

Submitting Components should refer to the FYDP Structure Management (FSM) System as described in section 010702 of Chapter 1 of this volume to ensure that Health program FYDP program elements shown on this format are current.

Exhibit PB-11 Defense Health Program Funding Summary
(Page 3 of 4)

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding by Subactivity Group

(Dollars in Thousands)

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>	<u>\$</u>	<u>(%)</u>

SPECIAL INTEREST O&M ITEMS

Private Sector Care

Total Managed Care Support (MCS) (PE 08077230)

Administrative Costs

Health Care Costs

Total Purchased Healthcare (PE 08077130)

Uniformed Services Family Health Plan

Revised Financing

Supplemental Care

TRICARE for Life (healthcare costs)

Dental Programs

All Other

Pharmacy (PE 0807702)

TFL Pharmacy

NMOP

Other

RDT&E

Congressionally Directed Programs

TMA Central Information Technology Development

Service Information Technology Development

Exhibit PB-11 Defense Health Program Funding Summary
(Page 4 of 4)

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM
Personnel Summary**

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
End	Avg	End	Avg	End	Avg	End	Avg	End	Avg	End	Avg
<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>

Active Military – Assigned to DHP

Army Total

Officers
Enlisted

Navy Total

Officers
Enlisted

Air Force Total

Officers
Enlisted

Total Active Duty

Officers
Enlisted

Active Military - Non DHP Medical

Army Total

Officers
Enlisted

Navy Total

Officers
Enlisted

Air Force Total

Officers
Enlisted

Total Active Duty

Officers
Enlisted

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

	<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	End		End		End		End		End		End	
	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>
I. Civilian Personnel - US Direct Hire												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total												
II. Civilian Personnel - Foreign National Direct Hire												
Army												
Navy												
Air Force												
Total												
III. Civilian Personnel - Foreign National Indirect Hire												
Army												
Navy												
Air Force												
Total												
IV. Total Civilian Personnel												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total <u>1/</u>												
V. Summary Civilian Personnel												
U.S. Direct Hire												
Foreign National Direct Hire												
Foreign National Indirect Hire												
Total, Civilians <u>1/</u>												

1/ Includes reimbursable civilians - memo

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>
<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>

SPECIAL INTEREST MANPOWER (End Strength)

TRICARE Regional Lead Agents:

Military FTE's
Civilian FTE's
Contracted FTE's (contractor personnel working on site as part of Lead Agent office staff)

TRICARE Management Activity

Military FTE's
Civilian FTE's
Contract Support FTE's (count all contract personnel working directly for TMA on TMA related matters)

Army Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's
Contract Support FTE's (count all contract personnel working directly for Army Headquarters related matters)

Navy Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's
Contract Support FTE's (count all contract personnel working directly for Navy Headquarters related matters)

Air Force Management Headquarters (PE 0807798)

Military FTE's
Civilian FTE's
Contract Support FTE's (count all contract personnel working directly for Air Force Headquarters related matters)

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data

FY PY	FY CY	FY BY1	FY BY2	CY-BY1	BY1-BY2
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Population - Average Eligible Beneficiaries

Active Duty
Active Duty Dependents
CHAMPUS Eligible Retirees
CHAMPUS Eligible Dependents of Retirees
Subtotal CHAMPUS Eligibles
Over 65 Eligible Beneficiaries
Total Average Eligible Beneficiaries

Population - Average MHSS Reliants/Users

Active Duty
Active Duty Dependents
CHAMPUS Eligible Retirees
CHAMPUS Eligible Dependents of Retirees
Subtotal CHAMPUS Eligibles
Over 65 Eligible Beneficiaries
Total Average MHSS Reliants/Users

Enrollees - Direct Care

TRICARE Region 1
TRICARE Region 2
TRICARE Region 3
TRICARE Region 4
TRICARE Region 5
TRICARE Region 6
TRICARE Region 7
TRICARE Region 8
TRICARE Region 9
TRICARE Region 10
TRICARE Region 11
TRICARE Region 12 (HI and AK)
Total Regions 1-12

Exhibit PB-11B Medical Workload and Productivity Data
(Page 1 of 4)

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data
FY PY ***FY CY*** ***FY BY1***
Actual **Estimate** **Estimate**

FY BY2 ***CY-BY1*** ***BY1-BY2***
Estimate **Change** **Change**

Enrollees - Direct Care

Overseas
Total Direct Care

Enrollees (Managed Care Support Contract)

TRICARE Region 1
TRICARE Region 2
TRICARE Region 3
TRICARE Region 4
TRICARE Region 5
TRICARE Region 6
TRICARE Region 7
TRICARE Region 8
TRICARE Region 9
TRICARE Region 10
TRICARE Region 11
TRICARE Region 12 (HI and AK)
Total MCS Contracts

Infrastructure

Hospitals/Medical Centers
Operating Beds
Medical Clinics

Exhibit PB-11B Medical Workload and Productivity Data
(Page 2 of 4)

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data
FY PY FY CY FY BY1
Actual Estimate Estimate

FY BY2 CY-BY1 BY1-BY2
Estimate Change Change

Direct Care System Workload (from MEPRS)

Inpatient Admissions (000)
Occupied Bed Days (000)
Inpatient Relative Weighted Product (WPGs)
Total Ambulatory Visits (000)
Average Length of Stays (Bed Days/Disposition)
Outpatient Relative Value Units (RVUs)
Outpatient Ambulatory Patient Groups (APGs)

Dental Workload (Composite Time Values (CTVs))

CONUS
OCONUS
Total CTVs

CONUS
Active Duty
Non-Active Duty
Total CONUS

OCONUS
Active Duty
Non-Active Duty
Total OCONUS

DEFENSE HEALTH PROGRAM
Medical Workload and Productivity Data Exhibit

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>CY-BY1</u>	<u>BY1-BY2</u>
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Private Sector Workload

Managed Care Support Contracts (TRICARE Prime)

Inpatient Admissions (000)

Inpatient Relative Weighted Product (RWPs)

Outpatient Visits (000)

TRICARE Extra/Standard

Inpatient Admissions (000)

Inpatient Relative Weighted Product (RWPs)

Outpatient Visits (000)

Overseas CHAMPUS

Inpatient Admissions (000)

Inpatient Relative Weighted Product (RWPs)

Outpatient Visits (000)

Family Member Dental Program

Enrollees (000)

Selective Reserve Dental Program

Enrollees (000)

TRICARE Dental Program

Enrollees (000)

Uniformed Services Family Health Plan (formerly USTF)

Enrollees (DoD only) (000)

Exhibit PB-11B Medical Workload and Productivity Data
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