

Fiscal Year (FY) 2021 Budget Estimates

Operation and Maintenance, Defense-Wide Defense Counterintelligence and Security Agency



February 2020

(This page intentionally left blank)

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

**Operation and Maintenance, Defense-Wide Summary (\$ in thousands)
Budget Activity (BA) 4: Administrative and Service-Wide Activities**

	FY 2019 <u>Actuals</u>	Price <u>Change</u>	Program <u>Change</u>	FY 2020 <u>Enacted</u>	Price <u>Change</u>	Program <u>Change</u>	FY 2021 <u>Estimate</u>
DCSA	765,460	16,270	118,297	900,027	17,207	41,351	958,585

I. Description of Operations Financed:

On behalf of the Secretary of Defense, the Defense Counterintelligence and Security Agency (DCSA), formerly known as Defense Security Service (DSS), serves as the primary Federal entity for vetting an effective, efficient, and trusted workforce for the Federal Government as directed in the Executive Order 13869, as well as the continuation of the National Industrial Security Program (NISP) mission. The DCSA has realigned its organizational structure to support the National Defense Strategy by ensuring a trusted federal, industrial and affiliated workforce through continuous vetting and insider threat programs. These programs enable government and industry to deliver uncompromised capabilities to the warfighter by utilizing artificial intelligence, machine learning and cutting edge technologies and innovation. The blending of the operational missions of Critical Technology Protection, Personnel Vetting, Counterintelligence, professional education and certification provides DCSA the unique advantage in preserving the nation's strategic edge. The DCSA ensures that sensitive and classified U.S. and foreign government information, technologies, and material entrusted to cleared industry is properly protected. DCSA is headquartered in Quantico, Virginia, with 167 field offices across the United States, executes NISP oversight for DoD and 33 other Federal agencies, determines security eligibility for all non-Intelligence DOD personnel and conducts personnel vetting for more than 100 federal agencies. As the

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

Industrial Security functional manager for the DoD, DCSA provides security education, training, certification, and professional development for DoD and other U.S. Government personnel contractor employees, and U.S. foreign government partners. Conducting required NISP assessments to deter, detect, and identify loss or compromise of classified information and ensure corrective actions are taken to prevent recurrence. The DCSA budget submission includes the transfer in of functions, personnel and associated resources of Defense Information Systems Agency (DISA), National Background Investigation Services (NBIS) Program Executive Office (PEO) and subordinate elements; Joint Service Provider (JSP) personnel to provide direct support to the DoD Consolidated Adjudication Facility (CAF) and portions of DHRA/Defense Manpower Data Center (DMDC) to maintain and develop the purpose built information technology systems in support of the Defense Vetting Enterprise to include the following DMDC applications: Defense Information System for Security (DISS), MIRADOR (the Continuous Evaluation and Records System for Personnel Security), the Secure Web Fingerprint Transmission System (SWFT) and the Defense Central Index of Investigation (DCII).

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)
Operational Activities

A. Critical Technology Protection Center:

<u>Dollars in Thousands</u>		
<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$127,431	\$103,611	\$98,251

The **Industrial Security Field Operations (IO) Directorate** supports the agency's NISP mission by vetting industrial facilities, 1M contractor personnel, and associated information systems (approximately 10,000 cleared companies and 12,100 contractor facilities). Serves as the primary interface between the Federal Government and industry, providing daily oversight, advice, and assistance to cleared companies and ultimately determining the ability of those companies to protect classified information. IO plays a significant role in mitigating potential insider threats and intrusions by adversaries on industry information technology systems and working with industry to develop tailored security plan solutions. DCSA protects the department's critical technologies and research in the hands of industry by applying an asset-focused, threat-driven risk management approach. The risk management approach begins with adjudicating defense contractors' trustworthiness to become a member of the NISP.

The **Industrial Security Integration and Application (ISIA) Directorate** provides headquarters support to field operations and cleared industry relative to industrial and personnel security policy, mitigation of foreign ownership, control or influence (FOCI), implementation of FOCI countermeasures, and administration of international

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

programs. ISIA conducts holistic business intelligence analysis, leveraging all sources, and applies risk-informed analysis for mitigating FOCI. FOCI professionals work with experts in security, finance, business structures, and governance to record and analyze FOCI in U.S. companies performing classified work. They provide input to the DoD lead for the Committee on Foreign Investment in the United States (CFIUS) on all covered transactions that involve cleared companies under FOCI mitigation requirements. CFIUS is an interagency committee chaired by the Secretary of the Treasury to conduct reviews of proposed mergers, acquisitions, or takeovers of U.S. entities by foreign interests under Section 721 of the Defense Production Act. CFIUS is a voluntary process that allows foreign persons and U.S. persons entering into a covered transaction to submit the transaction for CFIUS review to assess the impact of the transaction on national security. CFIUS and FOCI reviews are carried out in two parallel but separate processes. ISIA coordinates with senior members of foreign, civilian, and military organizations, who represent more than 65 foreign governments that are signatories of bilateral security agreements, for the timely and secure international movement of both U.S. and foreign classified information.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

B. Personnel Vetting:

<u>Dollars in Thousands</u>		
<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$42,075	\$211,698	\$281,014

- 1. Defense Vetting Directorate (DVD):** is comprised of the National Background Investigations Bureau (NBIB), the Vetting Risk Operations Center (VROC), Expedited Screening Center (ESC), and the DoD Consolidated Adjudications Facility (CAF), integrated into the DCSA as directed by legislative and presidential directives. The DVD has established a holistic end-to-end personnel vetting enterprise through the realignment and consolidation of the DCSA vetting functions to deliver an efficient and effective risk based methodology for continuous evaluation, personnel vetting, streamlined adjudications and continuous vetting to safeguard the integrity and trustworthiness of an agile federal and contractor workforce, while preserving military readiness and warfighting capabilities.

Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates

I. Description of Operations Financed (cont.)

2. DoD Insider Threat Management and Analysis Center (DITMAC):

<u>Dollars in Thousands</u>		
FY 2019	FY 2020	FY 2021
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$49,159	\$15,404	\$14,560

Provides an integrated capability to monitor and audit information for insider threat detection and mitigation. The program gathers, integrates, reviews, assesses, and responds to information derived from Counterintelligence (CI), security, cybersecurity, civilian and military personnel management, workplace violence, anti-terrorism risk management, law enforcement, user activity monitoring on DoD information networks, and other sources as necessary and appropriate to identify, mitigate, and counter insider threats to address current and emerging threats to DoD personnel, assets and information.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

C. Center for Development of Security Excellence (CDSE):

Dollars in Thousands

FY 2019	FY 2020	FY 2021
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$31,309	\$35,495	\$34,705

Delivers security education, training, and certification products and services to the DoD and other federal agencies and industry under the NISP. CDSE utilizes an agile delivery platform to maximize accessibility from in-person, instructor-led courses, online courses, webinars, video presentations, toolkits, and job aids. Develops and manages the Security Professional Education Development Certification Program which provides a series of National Commission for Certifying Agencies (NCCA) accredited professional certifications across multiple security disciplines designed to professionalize the security workforce via a common set of competencies that promote interoperability and facilitate professional development and training.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

D. Counterintelligence (CI) Analysis:

<u>Dollars in Thousands</u>		
<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$44,034	\$52,414	\$48,382

Detect and deter attempts by our nation's adversaries to steal sensitive national security information and technologies from cleared industry and keeps U.S. Government leaders informed of the threat. CI Special Agents work extensively with companies and other U.S. government agencies in order to quickly and efficiently identify, share and refer actionable threat information. The CI premier publication, ("*Targeting U.S. Technologies: A Trend Analysis of Cleared Industry Reporting*") analyzes suspicious contact reports from across the cleared national industrial base, describing suspicious foreign activity targeting U.S. personnel, technologies, and export-controlled products. The Cybersecurity Operations Division, employs technology tools and processes to aggressively address threats to cleared contractors in the cyber domain. The Cyber team's proficiencies in cyber and CI analysis, technical analysis, network engineering and management, proactive CI and cyber operations, and law enforcement/CI investigative, operational and management experiences unite to create a work center capable of implementing innovative solutions to counter the cyber threat from foreign intelligence entities.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

E. Personnel Security Investigations for Industry (PSI-I):

<u>Dollars in Thousands</u>		
<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$365,225	\$381,115	\$373,197

The centrally managed PSI-I budget executes requests for initial and periodic reinvestigations for contractor personnel security clearance in support of all DoD components and 33 other federal agencies participating in the National Industrial Security Program (NISP). Budgetary requirements are based on forecasted survey requirements for industry investigations by case type, in accordance with the FY21 DCSA Working Capital Fund published rate, and adjusted to include costs on a case by case basis for Triggered Enhanced Subject Interviews (TESI) and Reimbursable Security Investigations (RSI). DCSA manages requests for initial and periodic reinvestigations for contractor personnel. PSI-I requirements and budgets are impacted by changes in security policy, investigation pricing, and demand for research, development, and acquisition programs supporting DoD components and Federal agencies participating in the NISP.

Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates

I. Description of Operations Financed (cont.)
Operational Support Activities

A. Management Headquarters:

<u>Dollars in Thousands</u>		
FY 2019	FY 2020	FY 2021
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$33,451	\$30,185	\$29,165

The **DCSA Headquarters** enables mission execution through centralized management of enterprise strategic priorities to provide direct service support to field operations. These functions provide critical common services support devoted to maintaining the alignment of daily operations by enabling industry's delivery of uncompromised capabilities by leveraging advanced technologies and innovation. The support consists of financial management, acquisitions, human capital management, legal advice and assistance through the general counsel and inspector general, public affairs, security, maintenance of facilities, strategic management, logistical, property management, and equal employment opportunity.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

B. Enterprise Information Technology:

1. Office of the Chief Information Officer (OCIO):

<u>Dollars in Thousands</u>		
<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$64,544	\$57,885	\$61,554

The **OCIO** defines the enterprise information technology strategy through a shared vision of agency policy, standards, and infrastructure that supports the national industrial security oversight, security education, and personnel vetting missions. The OCIO team delivers IT services as part of enterprise applications, mobile IT solutions, secure communications, and a full range of helpdesk troubleshooting support and services to the DCSA community. The OCIO's vision includes partnering with agency, DOD and industry leaders to strategically integrate data driven and innovation solutions to ensure mission success. Includes the transfer of the information technology support for the DoD Consolidated Adjudication Facility (CAF) infrastructure.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

I. Description of Operations Financed (cont.)

2. National Bureau of Investigation Service (NBIS) PEO:

<u>Dollars in Thousands</u>		
<u>FY2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<u>Actual</u>	<u>Enacted</u>	<u>Estimate</u>
\$0	\$1,500	\$8,180

Developing an enterprise Information Technology (IT) architecture and data strategy for modernizing vetting capabilities supporting background investigations (BI) replacing Office of Personnel Management (OPM's) legacy IT systems. Provides a new, secure infrastructure and investigative support system for DoD and Federal Agencies utilizing web/cloud based capabilities and robust cybersecurity. Leverages DoD's cybersecurity capabilities and national security focused to protect government and contractor's personal and investigative information. Supports the distributed adjudication processes with built-in security; active governance structure, and a new national security culture based on process improvement, artificial intelligence, machine learning and /change management.

II. Force Structure Summary:

N/A

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

A. BA Subactivities	FY 2019 Actuals	Budget Request	FY 2020			Current Enacted	FY 2021 Estimate
			Congressional Action				
			Amount	Percent	Appropriated		
Center for Development of Security Excellence	31,309	34,202	0	0.0	34,202	35,495	34,705
Counterintelligence Program	44,034	41,738	0	0.0	41,738	52,414	48,382
Critical Technology Protection*	127,431	128,150	-4,356	-3.4	123,794	103,611	98,251
Cyberspace Activities**	8,232	9,220	3,000	32.5	12,220	12,220	9,577
Enterprise IT***	64,544	49,627	0	0.0	49,627	57,885	69,734
Insider Threat - DITMAC	49,159	68,744	0	0.0	68,744	15,404	14,560
Management HQ Activities	33,451	29,208	0	0.0	29,208	30,185	29,165
Personnel Vetting****	42,075	156,880	2,500	1.6	159,380	211,698	281,014
PSI for Industry	365,225	381,115	0	0.0	381,115	381,115	373,197
Total	765,460	898,884	1,144	.1	900,028	900,027	958,585

*Formerly National Industrial Security

**Cyberspace Activities includes Enterprise IT and Insider Threat/DITMAC

***Formerly Office of Chief Information Officer

****Formerly Defense Vetting Directorate

Defense Counterintelligence and Security Agency
 Operation and Maintenance, Defense-Wide
 Fiscal Year (FY) 2021 Budget Estimates

III. Financial Summary (\$ in thousands)

<u>B. Reconciliation Summary</u>	<u>Change</u> <u>FY 2020/FY 2020</u>	<u>Change</u> <u>FY 2020/FY 2021</u>
Baseline Funding	898,884	900,027
Congressional Adjustments (Distributed)	5,500	
Congressional Adjustments (Undistributed)	-4,357	
Adjustments to Meet Congressional Intent		
Congressional Adjustments (General Provisions)		
Subtotal Appropriated Amount	900,027	
Fact-of-Life Changes (2020 to 2020 Only)		
Subtotal Baseline Funding	900,027	
Supplemental		
Reprogrammings		
Price Changes		17,207
Functional Transfers		43,178
Program Changes		-1,827
Current Estimate	900,027	958,585
Less: Wartime Supplemental		
Normalized Current Estimate	900,027	

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

<u>C. Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
FY 2020 President's Budget Request (Amended, if applicable)		898,884
1. Congressional Adjustments		1,143
a. Distributed Adjustments		
1) Defensive cyber and counterintelligence operations	3,000	
2) Access to criminal records for local law enforcement	2,500	
b. Undistributed Adjustments		
1) Unjustified growth (Across-The-Board Reduction to the Operation and Maintenance, Defense-Wide, Account)	-3,319	
2) Budget Justification inconsistencies (Across-The-Board Reduction to the Operation and Maintenance, Defense-Wide, Account)	-1,038	
c. Adjustments to Meet Congressional Intent		
d. General Provisions		
FY 2020 Appropriated Amount		900,027
2. War-Related and Disaster Supplemental Appropriations		
3. Fact-of-Life Changes		
FY 2020 Baseline Funding		900,027
4. Reprogrammings (Requiring 1415 Actions)		
Revised FY 2020 Estimate		900,027
5. Less: Item 2, War-Related and Disaster Supplemental Appropriations and Item 4, Reprogrammings		
FY 2020 Normalized Current Estimate		900,027
6. Price Change		17,207
7. Functional Transfers		43,178
a. Transfers In		
1) DHRA/Defense Manpower Data Center (DMDC) Transfers in funding and manpower to DCSA from DHRA/DMDC to maintain and develop the purpose-built information technology systems supporting the	29,855	

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
Personnel Vetting enterprise (+10 FTEs)		
2) DISA - National Background Investigation System (NBIS)	6,680	
Transfer in the functions, personnel and associated resources of the Defense Information Systems Agency, National Background Investigation Services (NBIS) Program Executive Office (PEO) to maintain and develop the purpose-built IT systems supporting the Personnel Vetting mission. (Resources totaling \$89,856K was transferred from DISA to DCSA, of which \$6,680K is O&M and \$83,176K was redirected to RDT&E in support of the Software Pilot Program, as reflected in the DCSA R-2 Exhibit PE 0608197V). (+41 FTEs)		
3) DISA - Joint Service Provider Support (JSP)	6,643	
In accordance FY18 NDAA, Section 925(c), transfer in JSP IT resources, personnel, and functions directly supporting the DoD CAF (+12 FTEs)		
8. Program Increases		47,045
a. Annualization of New FY 2020 Program		
b. One-Time FY 2021 Increases		
c. Program Growth in FY 2021		
1) Personnel Vetting - International Military Student (IMS)	14,800	
Provides funding for the Expedited Screening Protocol for contract personnel to perform additional screening, vetting, and security measures for International Military Students (IMS) and for access to Contract data (Publically Available Electronic		

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
Information (Social Media)) from the affected countries/regions (FY 2020 Baseline: \$211,698 thousand; Baseline FTEs: 865)		
2) Personnel Vetting - DoD Consolidated Adjudication Facility (CAF)	11,622	
Increase in funding provides additional civilian FTEs required to determine security clearance eligibility of all non-intelligence DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). (FY 2020 Baseline: \$211,698 thousand; +32 FTEs)		
3) Personnel Vetting - Continuous Evaluation (CE)	11,418	
Increase in funding to support CE software enhancements, data costs, system and analytical capability to perform critical processing services, customer service operations, triaging of incident reports and national trusted workforce 2.0 initiatives. (FY 2020 Baseline: \$211,698 thousand; Baseline FTEs: 81; +70 FTEs)		
4) Enterprise IT	3,767	
Increase provides an integrated enterprise information technology management infrastructure to provide transparency of data across functional areas and deliver efficient and effective background investigations to safeguard the integrity and trustworthiness of the federal workforce. Provides sustainment and maintenance support for the National Industrial Security System (NISS) required to bridge		

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
capability gap to perform risk based oversight and protection of national security information across the NISP. (FY 2020 Baseline: \$57,885 thousand; +3 FTEs)		
5) Critical Technology Protection Increase provides additional Industrial Security Representatives (ISR) and Information System Security Professionals (ISSP) to issue and sustain facility clearances, certify and accredit classified systems in support of classified contract security requirements, conduct cyber communication readiness inspections (CCRI) and identify and resolve security vulnerabilities at cleared contractor facilities to ensure the protection of U.S. classified information technology. (FY 2020 Baseline: \$103,611 thousand; +26 FTEs)	3,718	
6) Counterintelligence Increase provides additional CI field agents to blend critical technology protection and counterintelligence to identify adversary threats working against the DIB (FY 2020 Baseline: \$52,414 thousand; Baseline FTEs: 203; +4 FTEs)	920	
7) Center for Development of Security Excellence (CDSE) Increase funding to develop DoD Insider Threat and Continuous Evaluation security related training, certification and courseware for security professionals. (FY 2020 Baseline: \$34,495 thousand; Baseline FTEs: 81; +11 FTEs)	800	
9. Program Decreases		-48,872

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
a. Annualization of FY 2020 Program Decreases		
b. One-Time FY 2020 Increases		
1) Cyberspace Activities	-3,000	
Decrease is the one time increase for defensive cyber and counterintelligence operations. (FY 2020 Baseline: \$12,220 thousand)		
2) Federal Vetting Center - Law Enforcement Check	-2,500	
Decrease is for the one time increase for access to criminal records for local law enforcement. (FY 2020 Baseline: \$211,698 thousand)		
c. Program Decreases in FY 2021		
1) Personnel Security Investigation - Industry	-13,730	
Decrease in funding for initial and periodic reinvestigations for contractor personnel requiring access to classified information to include initial Top Secret, Top Secret Reinvestigations, Secret and Secret Reinvestigations, and Confidential clearances to perform on classified contracts. (FY 2020 Baseline: \$381,115 thousand; +0 FTEs)		
2) Critical Technology Protection	-7,995	
Decrease in funding reduces required lease space resulting in shortage of key geographical operating space to fulfill the DCSA mission; consolidates field offices to incorporate the merger of DCSA organizations and degrades facility access control systems that results in subpar security for classified information, workspace, and workforce security threats. (FY 2020 Baseline: \$103,611 thousand)		

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
3) Defense Wide Review (DWR) - DCSA Reduction: Enterprise IT Reduction defers IT efforts to procure equipment, lifecycle and asset management, and infrastructure. (FY 2020 Baseline: \$57,885 thousand)	-6,400	
4) Counterintelligence Program Decrease is the result of the realignment of funds to support RDT&E Adaptive Data Exchange (ADX) enhancements to support the DIB cyber protection. (FY 2020 Baseline: \$52,414 thousand)	-4,000	
5) DWR - DCSA Reduction: Critical Technology Protection Decrease reduces contractor support for industrial oversight to provide performance metrics, technical edits, and support to the field. (FY 2020 Baseline: \$103,611 thousand)	-3,700	
6) DWR - DCSA Reduction: Center for Development of Security Excellence Decrease delays updates to the DoD Security Skill Standards for use by DoD security professionals. (FY 2020 Baseline: \$35,495 thousand)	-2,300	
7) DWR - DCSA Reduction: Counterintelligence Program Decrease reduces contractor support to produce and disseminate raw reporting requirements in relation to classified technology. (FY 2020 Baseline: \$52,414 thousand)	-2,000	
8) DWR - DCSA Reduction: Management HQ Activities Decrease reduces contractor support for enterprise services. (FY 2020 Baseline: \$30,185 thousand)	-1,400	
9) DWR - DCSA Reduction: Insider Threat - DITMAC	-1,300	

Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates

III. Financial Summary (\$ in thousands)

C. <u>Reconciliation of Increases and Decreases</u>	<u>Amount</u>	<u>Totals</u>
Decrease reduces contractor support to provide case management support and insider threat analysis. (FY 2020 Baseline: \$15,404 thousand)		
10) CIVPAY - One Less Compensable Workday	-547	
Decrease is attributed to one less compensable day from 262 to 261. (FY 2020 Baseline: \$253,303 thousand; Baseline FTEs: 1,730)		
FY 2021 Budget Request		958,585

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

Critical Technology Protection Center

A. NISP Performance Measure: Protection of Classified Information.

Comments: The 12,500 cleared facilities for which the Defense Counterintelligence and Security Agency (DCSA) provides oversight are geographically dispersed across the United States and range from small consulting firms with part-time, inexperienced security managers to large manufacturing and research and development plants with professional security staffs. Some of the larger facilities possess large amounts of highly classified information and have very complex security requirements. There are a range of engagement actions with industry that contribute to DCSA's oversight of the NISP and the protection of classified information in cleared industry. At the front-end of the process, DCSA makes an administrative and risk-based determination as to the trust worthiness of a company to become a member of the NISP. Once the facility is in the NISP, there are a range of actions that the IO Directorate conducts to determine the security posture of a facility and its appropriateness to remain in the NISP. The most in-depth actions are Comprehensive Security Reviews (CSR) that result in Tailored Security Plans (TSP). A condensed version of the CSR is the enhanced security vulnerability assessment. These security reviews result in an assessment of the security posture, but do not result in a TSP, and are more of a compliance-based assessment as opposed to a risk-based assessment. DCSA uses an intelligence-led, asset-focused, threat-driven approach to determine the appropriate level of engagement a facility requires. The following metric tracks engagement with industry across a variety of activities.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

FY19 Actions	
Substantive Counterintelligence and Security Engagements	25,000+
Security Reviews	2,100+
Comprehensive Security Reviews	58
Command Cyber Readiness Inspections	25
Tailored Security Plans Finalized	18
Facility Clearances Issued	790
Information Systems Authorized to Process Classified Information	6,615
FY19 Findings	
Vulnerabilities Identified	3,028
Security Violations Processed	1,149
Cases Involving Loss/Compromise of Classified Information	790

1. Center for Development of Security Excellence (CDSE)

A. CDSE Performance Measure #1: Requested Seats in CDSE FY 2019 Scheduled Courses

Comments: This performance measure is used to compare the number of "student seats" (throughput capacity) available for Instructor-led classroom and Instructor-led Virtual courses vs. "student seats" requested by the security community in FY 2019.

FY2019													
Seats Available in Courses Scheduled	Oct-18	Nov-18	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19	Aug-19	Sep-19	Total
Monthly Available Seats As Scheduled	0	199	66	98	126	114	148	199	264	172	123	100	1,609
Total Requested Seats	0	285	116	148	249	164	222	249	350	258	273	150	2,464
% of Seat Requests Filled	0%	70%	57%	66%	80%	70%	67%	80%	75%	67%	45%	67%	65%

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

B. CDSE Performance Measure #2: Required Active Course Inventory.

Comments: This output performance measure provides the actual number of active training courses (Virtual and Instructor Led Courses, eLearning Courses and Short Format Learning Courses) in the inventory compared with the total number of CDSE courses in maintenance due to new/updated policy, updated Defense Security Skill Standards, changing security landscape, new mission areas and/or security community requests. The goal is to make sure all courses continue to be current, accurate and relevant with the current security environment which requires continuous maintenance and sustainment of courses. An emphasis is being put on online learning products vs. classroom today, where possible, to meet the exponential growth in the demand for CDSE products

Active Product Inventory												
	Oct-18	Nov-18	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19	Aug-19	Sep-19
Active Products	441	446	450	459	467	475	481	495	503	509	511	520
Products in Maintenance or Development	62	67	72	78	79	85	96	79	75	71	66	57
% of Total Inventory in Maintenance or Development	14%	15%	16%	17%	17%	18%	9%	16%	15%	14%	13%	11%

2. Counterintelligence (CI):

A. (CI) Performance Measures:

Annual Production of intelligence information reports (IIRs) and relevance of analytic products (output and impact) to the community. CI identifies threats to personnel, facilities, information, and technology resident in the cleared U.S.

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

industrial base and is charged to identify threats targeting the federal government's trusted workforce. In both instances, CI articulates those threats to stakeholders and action agencies for potential investigative or operational consideration. DCSA continually updates and aligns activities to detect, deter, and disrupt National Intelligence Priorities Framework (NIPF) actors targeting critical defense technologies and the federal government's trusted workforce. Production targets are: (1) release \geq 90% of the annual IIR production target, the annual production target being 8,000 IIRs; and (2) \geq 95% of all analytic products produced will address NIPF CI Tier 1-3 countries and non-state actors - Foreign intelligence Entities (FIE) (output/impact).

4. Personnel Vetting

A. DoD Consolidated Adjudications Facility (DoD-CAF) Performance Measures:

To determine security clearance eligibility of non-Intelligence Agency DoD personnel occupying sensitive positions and/or requiring access to classified material including Sensitive Compartmented Information (SCI). These determinations involve all military service members, applicants, civilian employees, and consultants affiliated with the Department of Defense, to include DoD personnel at the White House and contractor personnel under the National Industrial Security Program (NISIP). The DoD CAF also adjudicates security clearance eligibility for staff of the United States Senate and House of Representatives, the Congressional Budget Office, the United States Capitol Police and selected judicial staff. Additionally, the DoD CAF renders favorable adjudicative determinations for employment suitability of DoD civilian employees and Common Access Card (CAC) or Fitness eligibility of non-cleared DoD contractors."

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

	FY2019	FY2020	FY2021
Number of Personnel Serviced	4,100,000	4,100,000	4,100,000
Number of Tier 1 and non-background investigations decisions (IR/CE, etc.)	105,700	118,251	138,839
Number of Background Investigation Decisions (less Tier 1) (Tier 3/5, SSBI, NACLIC, etc.)	954,800	722,672	665,179

B. Personnel Service Investigation - Industry (PSI - I) Performance Measures:

The PSI-I budget is based on total number of forecasted investigations, by case type, and the DCSA rate, and adjusted to include costs on a case by case basis for Triggered Enhanced Subject Interviews (TESI) and Reimbursable Security Investigations (RSI). The DCSA administers requests for initial and periodic reinvestigations for contractor personnel to include Tier 5 for Top Secret/SCI, and Tier 3 for Secret and Confidential clearances. The Vetting and Risk Operations Center (VROC) has developed metrics below to evaluate the number of personnel serviced by their multiple lines of business when executing the PSI-I budget.

	FY 2018	FY2019
Personnel Serviced Cleared Contractors	839,500	847,650
eQIPs Processed	139,246	226,601
Interims Issued	79,569	100,662
Interim Declinations	1,622	1,325
Periodic Reinvestigations Approved	85,399	88,350

**Defense Counterintelligence and Security Agency
 Operation and Maintenance, Defense-Wide
 Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

C. Continuous Evaluation Program (CE) Performance Measures:

In the role as the Security Executive Agent (SecEA), the Director of National Intelligence (DNI) established the Continuous Evaluation (CE) Program within the National Counterintelligence and Security Center (NCSC). CE, a personnel security investigative process, is part of the security clearance reform effort to modernize personnel security processes and increase the timeliness of information reviewed between periodic reinvestigation cycles. CE supplements and enhances, but does not replace, established personnel security processes by leveraging automated records checks to assist in the ongoing review of an individual's eligibility for access to classified information or to hold a sensitive position.

FY 2019	FY 2019	FY 2020	FY 2021
Enrolled Personnel	Actionable Alerts	Projected Personnel Enroll	Projected Personnel Enroll
1,396,056	30,100	2,500,000	3,600,000

**Defense Counterintelligence and Security Agency
 Operation and Maintenance, Defense-Wide
 Fiscal Year (FY) 2021 Budget Estimates**

IV. Performance Criteria and Evaluation Summary:

D. Expedited Screening Protocol (ESP) Performance Measures:

In February 2019, VROC implemented the Expedited Screening Protocol (ESP) to ensure uniform and consistent standards for a centralized process for the screening and vetting of individuals requiring access to DoD systems, facilities, personnel, information, or operations for allegiance, foreign preference, or foreign influence concerns.

	FY 2019 Actual	FY 2020 Projected	FY 2021 Projected
Risk Determinations	16,576	100,000	250,000

**Defense Counterintelligence and Security Agency
 Operation and Maintenance, Defense-Wide
 Fiscal Year (FY) 2021 Budget Estimates**

V. <u>Personnel Summary</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Change FY 2019/ FY 2020</u>	<u>Change FY 2020/ FY 2021</u>
<u>Civilian End Strength (Total)</u>	<u>914</u>	<u>1,813</u>	<u>2,087</u>	<u>899</u>	<u>274</u>
U.S. Direct Hire	914	1,813	2,087	899	274
Total Direct Hire	914	1,813	2,087	899	274
<u>Civilian FTEs (Total)</u>	<u>884</u>	<u>1,730</u>	<u>1,939</u>	<u>846</u>	<u>209</u>
U.S. Direct Hire	884	1,730	1,939	846	209
Total Direct Hire	884	1,730	1,939	846	209
Average Annual Civilian Salary (\$ in thousands)	142.5	146.4	143.0	3.9	-3.4
 <u>Contractor FTEs (Total)</u>	 <u>172</u>	 <u>200</u>	 <u>312</u>	 <u>28</u>	 <u>112</u>

**Defense Counterintelligence and Security Agency
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2021 Budget Estimates**

VI. OP 32 Line Items as Applicable (Dollars in thousands):

<u>OP 32 Line</u>	<u>FY 2019</u> <u>Actuals</u>	<u>Change</u> <u>FY 2019/FY 2020</u>		<u>FY 2020</u> <u>Enacted</u>	<u>Change</u> <u>FY 2020/FY 2021</u>		<u>FY 2021</u> <u>Estimate</u>
		<u>Price</u>	<u>Program</u>		<u>Price</u>	<u>Program</u>	
101 Exec, Gen'l & Spec Scheds	125,335	3,484	123,810	252,629	3,890	20,053	276,572
121 PCS Benefits	660	18	-4	674	10	0	684
199 Total Civ Compensation	125,995	3,502	123,806	253,303	3,900	20,053	277,256
308 Travel of Persons	6,726	135	387	7,248	145	377	7,770
399 Total Travel	6,726	135	387	7,248	145	377	7,770
683 Purchases from DWCF Defense Counterintelligence & Security Agency	0	0	0	0	0	373,797	373,797
696 DFAS Financial Operation (Other Defense Agencies)	1,302	3	197	1,502	403	-303	1,602
699 Total DWCF Purchases	1,302	3	197	1,502	403	373,494	375,399
771 Commercial Transport	537	11	-1	547	11	0	558
799 Total Transportation	537	11	-1	547	11	0	558
912 Rental Payments to GSA (SLUC)	1,294	26	0	1,320	26	0	1,346
913 Purchased Utilities (Non-Fund)	11	0	0	11	0	0	11
914 Purchased Communications (Non-Fund)	8,091	162	0	8,253	165	-165	8,253
915 Rents (Non-GSA)	4,250	85	0	4,335	87	0	4,422
917 Postal Services (U.S.P.S)	31	1	0	32	1	0	33
920 Supplies & Materials (Non-Fund)	4,382	88	1,335	5,805	116	949	6,870
921 Printing & Reproduction	280	6	0	286	6	0	292
922 Equipment Maintenance By Contract	35,749	715	0	36,464	729	-1,241	35,952
923 Facilities Sust, Rest, & Mod by Contract	2,306	46	0	2,352	47	0	2,399
925 Equipment Purchases (Non-Fund)	12,854	257	-2,631	10,480	210	-869	9,821
932 Mgt Prof Support Svcs	104,251	2,085	79,528	185,864	3,717	-25,614	163,967
934 Engineering & Tech Svcs	925	19	-47	897	18	-3	912
957 Other Costs (Land and Structures)	744	15	0	759	15	0	774
987 Other Intra-Govt Purch	448,609	8,972	-84,277	373,304	7,466	-325,470	55,300

**Defense Counterintelligence and Security Agency
 Operation and Maintenance, Defense-Wide
 Fiscal Year (FY) 2021 Budget Estimates**

<u>OP 32 Line</u>	FY 2019	Change		FY 2020	Change		FY 2021
	<u>Actuals</u>	<u>FY 2019/FY 2020</u>		<u>Enacted</u>	<u>FY 2020/FY 2021</u>		<u>Estimate</u>
		<u>Price</u>	<u>Program</u>		<u>Price</u>	<u>Program</u>	
989 Other Services	7,123	142	0	7,265	145	-160	7,250
999 Total Other Purchases	630,900	12,619	-6,092	637,427	12,748	-352,573	297,602
Total	765,460	16,270	118,297	900,027	17,207	41,351	958,585

Updates made to the OP-32 to accurately reflect budgetary information