Fiscal Year 2012 Budget Estimates
Defense Security Services (DSS)

February 2011

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DEFENSE SECURITY SERVICE
Operation and Maintenance, Defense-Wide
Fiscal Year (FY) 2012 Budget Estimates

I.   Description of Operations Financed (Continued):

Operation and Maintenance, Defense-Wide Summary ($ in thousands)
     Budget Activity (BA) 4: Administrative and Service-Wide Activities

	FY 2010 Actuals	Price Change	Program Change	FY 2011 Estimate	Price Change	Program Change	FY 2012 Estimate
DSS	479,268	5,853	33,662	518,743	5,581	-18,958	505,366

* The FY 2011 Estimate column reflects the FY 2011 President's Budget Request.

I.   Description of Operations Financed: The Defense Security Service (DSS) supports national security and the warfighters by securing the nation's technological base and overseeing the protection of U.S. and foreign classified information entrusted to our industry partners. The DSS works in partnership with industry to establish and maintain threat-appropriate security countermeasures through recurring inspections, monitoring, oversight and counterintelligence services, training, advice, consultations, site visits and assistance.

The DSS ensures that cleared contractors maintain effective security systems that protect classified information and technologies and counter the threat posed by traditional and non-traditional adversaries who target the classified material in the hands of cleared contractors.   The DSS provides counterintelligence support to recognize and report potential espionage threats; accredits information systems in industry to process classified information; manages the personnel security clearance process for industry personnel; manages Foreign Ownership, Control or Influence (FOCI) in cleared U.S. companies and provides security education, training, advice and assistance to cleared industry and other federal government agencies.

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I.   Description of Operations Financed (Continued):

The FY 2012 budget estimates supports the DSS operational mission areas and command enabling support areas to enhance and expand the National Industrial Support Program (NISP), identify unlawful penetrators of the cleared Defense Industrial Base (DIB), adjudicate personnel security clearances for industry, reinvigorate the Security education and awareness program and maintain Information technology (IT) systems and support elements as follows:

1. National Industrial Security Program (NISP)
2. Personnel Security Investigations for Industry (PSI-I)
3. Security Education, Training and Awareness (SETA)
4. Counterintelligence (CI)

Command Enabling Support Areas:

1. Management Headquarters Activity
2. Office of the Chief Information Officer

1. National Industrial Security Program (NISP):

The DSS administers and implements the NISP on behalf of the Department of Defense and 23 other non-DoD federal agencies, pursuant to Executive Order 12829, by providing oversight and assistance to over 13,000 cleared contractor facilities, accrediting information systems to process classified information; assisting

protection of classified national security information; facilitating shipment of classified material between the United States and 65 foreign countries; and implementing

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I. Description of Operations Financed (Continued):

and overseeing approximately 600 mitigation arrangements at companies under Foreign Ownership, Control, or Influence (FOCI). The DSS NISP oversight role includes responsibility for the majority of the cleared contractors in the United States to include determination, issuance, and oversight of facility security clearances and making determination that contractor employees are eligible for access to classified information. This oversight role further includes:

- Conducting required NISP inspections increasing ability to deter, detect, and identify loss or compromise of classified information and ensure corrective actions;
- Accrediting classified contractor computer systems allowing industry to perform on classified programs;
- Completing FOCI mitigation agreements and properly analyzing, evaluating and providing oversight to cleared firms under FOCI agreements;
- Increasing International Security training and personnel needed to facilitate timely secure shipment of commercially sold classified export controlled materials to and from U.S. cleared contractors and 65 foreign countries; and
- Providing proactive training and support for DSS field personnel, industry, government agencies
- Liaison with government Special Access Program (SAP) customers, and increase/improve analysis of SAP security issues.

I. <u>Description of Operations Financed (Continued)</u>:

a. <u>**Industrial Security Field Operations(ISFO)**</u>:

	Dollars in Thousands		
	FY 2010 Actual	FY 2011 Estimate	FY 2012 Estimate
	$77,568	$101,670	$107,108

The Industrial Security Field Operations (ISFO) inspects and provides oversight to cleared defense contractors on behalf of the Department of Defense (DoD) and 23 National Industrial Security Program partners. Industrial Security personnel provide oversight and assistance to cleared industrial facilities and assist management and security staff in ensuring the protection of U.S. and foreign classified information. ISFO is responsible for the adjudication of industry personnel security clearances. ISFO's mission essential task list is to:

- Serves as "first responder" to Industry for industrial security matters;
- Ensure security of cleared Industry's Information Systems processing classified information;
- Enhances security awareness among external and internal customers;
- Assesses security posture of cleared Industry;
- Monitors Foreign Ownership, Control or Influence FOCI mitigation instruments;
- Supports the personnel security clearance process.

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I. <u>Description of Operations Financed (Continued)</u>:

b. <u>Industrial Policy and Programs (IP)</u>:

	Dollars in Thousands	
FY 2010	FY 2011	FY 2012
Actual	Estimate	Estimate
$26,234	$21,971	$18,812

The Industrial Policy and Programs Directorate (IP) supports the National Industrial Security Program (NISP). The mission of the IP Directorate includes interpreting policy and providing guidance for the NISP (23 members to include the Department of State, the Department of Justice and the Department of Homeland Security); mitigating foreign ownership, control, and influence (FOCI) for U.S. companies with classified contracts; managing the security oversight functions of DSS' direct and indirect support to the Special Access Program community; developing a Cyber Strategy for cleared defense contractors; supporting cyber threat sharing by the Defense Industrial Base (DIB) Cyber Security Task Force and the DIBNet communication system; ensuring secure international transfers of classified commercial sales. IP also exercises authority and responsibility for industry personnel security investigation (PSI) workload projections, tracks program performance for Industry and DoD components, monitors PSI funding, and provides financial analysis and operations research support to DSS and other DoD offices.

2. <u>Personnel Security Investigations for Industry (PSI-I)</u>:

	Dollars in Thousands	
FY 2010	FY 2011	FY 2012
Actual	Estimate	Estimate
$218,015	$234,109	$237,193

The DSS identifies requirements and manages the costs for personnel security investigations for all industry personnel in support of DoD components and 23 other federal agencies under the National Industrial Security Program (NISP). Contractor

I. Description of Operations Financed (Continued):

personnel are employed on projects in support of multiple Executive Branch agencies requiring a centrally financed program to provide economy of scale and dramatically reduce overhead costs associated with the management of these requirements across the federal government. The demand of Office of Personnel Management (OPM) personnel security clearance investigations has increased which is attributed in part to Overseas Contingency Operation (OCO) workload to meet critical needs, the President's Management Agenda (PMA), and intelligence reforms mandated by the Intelligence Reform and Terrorism Prevention Act (IRTPA) of 2004.

3. Security Education Training and Awareness Program (SETA):

	Dollars in Thousands	
FY 2010	FY 2011	FY 2012
Actual	Estimate	Estimate
$21,449	$32,262	$28,316

The Defense Security Service (DSS) Security Education, Training and Awareness (SETA) Directorate oversees the missions of the Center for Development of Security Excellence (CDSE) and the Defense Security Service Academy (DSSA). The SETA program provides comprehensive education, training, and professional development for security professionals within the Department of Defense and industry under the National Industrial Security Program (NISP).

By the end of FY 2010 third quarter, SETA recorded 94,935 course completions representing an increase of more than 27 percent over FY 2009, and more than 32 percent over FY 2008. As an integral component of its business execution platform, SETA employs a comprehensive array of quality assurance measurements for its courseware and products to ensure the

I.   Description of Operations Financed (Continued):

quality, relevance, and cost effectiveness of the professional development deliverables and support that it provides to DoD's security and intelligence communities.

Center for Development of Security Excellence: oversees the Education, Training, Security Professionalization, Multimedia Production, and Security Analysis and Innovation mission areas. The CDSE provides for the security training and professional development of DoD and other U.S. Government personnel, employees of U.S. Government contractors, and, when sponsored by authorized DoD Components, employees of selected foreign governments. It provides a common, unifying means to prepare individuals for their security program roles, facilitates the development of effective security programs for the DoD, and reduces the need for duplicative training sources and their associated infrastructures, within DoD and the Federal Government.

Education Division: develops education courses and workshops for DoD security professionals who are advancing their professional growth.   This division is responsible for the development of education courses for advanced security studies in support of the Security Profession Education and Development Certification Program. The Education Division is also responsible for facilitating the evaluation of SETA training and education courses for college credit equivalencies.

Training Division: creates, collaborates and facilitates delivery of quality security training across the Industrial, Information, Personnel, and Physical security disciplines, as well as select communities of practice. The training is delivered through a variety of formats to include resident courses conducted at the DSS facility in Linthicum, MD, mobile courses presented at activities located within or outside of the United States, and distance learning courses accessed online via DSS's, Learning Management System, ENROL.

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I. **Description of Operations Financed (Continued):**

Security Professionalization Division: is responsible for the implementation and maintenance of the Security Professional Education and Development Program (SPēD). This program is responsible for the maintenance of the DoD security skill standards, development and maintenance of career maps for DoD security professionals, management of the SPēD Certification Program, sponsorship of security workshops and conferences, and administrative support for the DoD Security Training Council (DSTC). The Security Professionalization Division also provides for the management of the automated registration and learning management system necessary to support SETA's customer base.

Multimedia Production Division: develops and delivers web-deployed products and provides multi-media support. This division supports students and the customer-base through the development of courseware and classroom tools and facilitates agency-wide communication and outreach efforts.

Research, Analysis and Innovation Division: develops and coordinates the deployment of a knowledge management system for SETA. This division also collects and reports metrics works special projects and provides strategic counsel to the office of the SETA Director.

Defense Security Service Academy (DSSA): provides security training for the industrial security professionals within the Defense Security Service. The DSSA provides the required security training for Industrial Security Representatives, Information Systems Security Professionals, and Industrial Security Policy Professionals that equip DSS security professionals to implement and provide oversight of cleared industry within the National Industrial Security Program (NISP).

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I. <u>Description of Operations Financed (Continued):</u>

4. <u>**Counterintelligence Program (CI):**</u>

<u>Dollars in Thousands</u>

FY 2010 <u>Actual</u>	FY 2011 <u>Estimate</u>	FY 2012 <u>Estimate</u>
$16,916	$25,087	$24,429

The DSS provides CI support to the National Industrial Security Program (NISP). The DSS CI Mission is to, "identify unlawful penetrators of the cleared Defense Industrial Base." That means providing support to 13,300+ cleared facilities that support 1.2 million workers. The DSS CI mission must work with a full range of U.S. Government Departments,

Services, and Agencies. The DSS CI Directorate is organized into two divisions: Operations and Intelligence.

The value added by the Operations Division is in identifying known and suspected collectors engaged in illegal or suspicious acts related to defense technology. The Operations Division refers information to federal action agencies for exploiting.

The value added by the Intelligence Division is in informing the cleared DIB and U.S. Government agencies and officials of threats posed to them and the U.S. DoD technology in their charge. To inform its customers, the Intelligence Division publishes the following products: Annual Trends; Quarterly Trends; Company Assessments; Technology Assessments; Target Country Assessments; Intelligence Information Reports; and analysis associated with Operations Division referrals.

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I.   Description of Operations Financed (Continued):

In addition to the DIB, the DSS CI service supports the following: federal law enforcement agencies targeting intelligence operators, terrorists and criminals attempting to steal U.S. Defense technology; the Defense Intelligence Agency's "Campaign" and "Castle" programs; the U.S. Intelligence Community; the FBI DOMAIN program; the Annual Report to Congress on Economic Collection and Industrial Espionage; the DoD Personnel Clearance Adjudication Facilities; and Joint Task Force-Global Network Operations.

Operational Support Activities

1. Management HQ Activities:

Dollars in Thousands		
FY 2010	FY 2011	FY 2012
Actual	Estimate	Estimate
$45,295	$48,753	$42,898

The DSS headquarters activities direct and implement services in support of the DSS mission in the areas of human resources, financial management, security, acquisition, public affairs, legislative affairs, general counsel, EEO and other general administrative support.

2. Office of the Chief Information Officer (OCIO):

Dollars in Thousands		
FY 2010	FY 2011	FY 2012
Actual	Estimate	Estimate
$73,791	$54,891	$46,610

The OCIO supports Automated Information Systems (AIS) and communications infrastructure for the following Defense Security Service (DSS) and its programs:

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I. <u>Description of Operations Financed (Continued)</u>:

- National Industrial Security Program (NISP)
- Counterintelligence (CI) activities
- Security Education and Awareness Training (SETA)
- All support elements

The OCIO maintains all IT infrastructures for the agency to include desktops, networks (Joint Worldwide Intelligence Communications System- JWICS, Non-secure Internet Protocol Router Network-NIPR Net, and Secure Internet Protocol Router Network – SIPR NeT), Help Desk operations, and a Call Center. In addition, the OCIO plans, programs and manages the activities associated with the Enterprise Security System (ESS). This includes the ongoing support and maintenance of the legacy systems (i.e., Industrial Security Facilities Database (ISFD), and Electronic Network Registration and On-Line Learning (ENROL) that are integrated components of ESS. OCIO supports the enhancement of the DSS Industrial Security mission including installation of additional network segments, support to additional field operations staff, and capacity enhancements to support the growing mission areas. ESS also maintains a customized Commercial-off-the-Shelf (COTS) Learning Management System (LMS) and Learning Content Management System (LCMS) which facilitates DSS security education. These automated capabilities will require operations and maintenance funding to support the DSS mission.

II. <u>Force Structure Summary</u>: **N/A**

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III. Financial Summary ($ in thousands):

A. BA Subactivities	FY 2010 Actuals	Budget Request	FY 2011 Congressional Action Amount	Percent	Appropriated	Current Estimate	FY 2012 Estimate
*National Industrial Security Program	103,802	123,641				123,641	125,920
PSI for Industry	218,015	234,109				234,109	237,193
Security Education Training Awareness	21,449	32,262				32,262	28,316
Counterintelligence Program	16,916	25,087				25,087	24,429
Management HQ Activities	45,295	48,753				48,753	42,898
**Office of Chief Information Officer	73,791	54,891				54,891	46,610
Total	**479,268**	**518,743**				**518,743**	**505,366**

Note: The FY 2011 Estimate column reflects the FY 2011 President's Budget Request.

* National Industrial Security Program includes budget estimates for Industrial Security Field Operation (ISFO) and Industrial Policy and Programs (IP).
** Budget estimates include funding for the Enterprise Security System.
*** The funding change in the above summary by listed program does not directly correspond with specific program increases and decreases by object class as reflected on the OP-32 due to internal initiatives to achieve efficiency.

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III. <u>**Financial Summary ($ in thousands):**</u>

B. <u>Reconciliation Summary</u>	Change FY 2011/FY 2011	Change FY 2011/FY 2012
Baseline Funding	518,743	518,743
Congressional Adjustments (Distributed)		
Congressional Adjustments (Undistributed)		
Adjustments to Meet Congressional Intent		
Congressional Adjustments (General Provisions)		
Subtotal Appropriated Amount	518,743	
Fact-of-Life Changes (2011 to 2011 Only)		
Subtotal Baseline Funding	518,743	
Anticipated Supplemental		
Reprogrammings		
Price Changes		5,581
Functional Transfers		-1,758
Program Changes		-17,200
Current Estimate	518,743	505,366
Less: Wartime Supplemental		
Normalized Current Estimate	518,743	

III. <u>Financial Summary ($ in thousands)</u>:

C. Reconciliation of Increases and Decreases	Amount	Totals
FY 2011 President's Budget Request (Amended, if applicable)		**518,743**
1. Congressional Adjustments		
a. Distributed Adjustments		
b. Undistributed Adjustments		
c. Adjustments to Meet Congressional Intent		
d. General Provisions		
FY 2011 Appropriated Amount		**518,743**
2. War-Related and Disaster Supplemental Appropriations		
a. OCO Supplemental Funding		
3. Fact-of-Life Changes		
FY 2011 Baseline Funding		**518,743**
4. Reprogrammings (Requiring 1415 Actions)		
Revised FY 2011 Estimate		**518,743**
5. Less: Item 2, War-Related and Disaster Supplemental Appropriations and Item 4, Reprogrammings		
FY 2011 Normalized Current Estimate		**518,743**
6. Price Change		5,581
7. Functional Transfers		-1,758
a. Transfers In		
b. Transfers Out		
1) Procurement Realignment	-974	
Transfers funds from Operations and Maintenenace to Procurement to properly resource major Information Technology (IT) investments and infrastructure.		
2) PSI-I Oversight	-784	
Transfer of five Civilian Full Time Equivalents for Personnel Security Investigations-Industry to the USD (I)		
8. Program Increases		18,603

III. <u>**Financial Summary ($ in thousands):**</u>

C. Reconciliation of Increases and Decreases	Amount	Totals

C. Reconciliation of Increases and Decreases
    a. Annualization of New FY 2011 Program
    b. One-Time FY 2012 Increases
    c. Program Growth in FY 2012
        1) National Industrial Security   9,537
           Increase provides funding for more thorough risk based
           facility inspections to include in depth facility and threat
           analysis; conducting classified system accreditation and
           issuing interim authority to operate within 45 days;
           reconstituting a robust overseas security and
           counterintelligence presence; providing continued support in
           the areas of industrial and personnel security policy, as
           well as providing adjudication of foreign ownership,
           control, and influence (FOCI). (FY 2011 Base: $123,641,
           +19 FTEs)
        2) PSI for Industry (PSI-I)   2,950
           Increase provides for personnel security investigations and
           periodic re-investigations for all industry personnel in
           support of DoD components and federal agencies under NISP.
           (FY 2011 Base: $234,109)
        3) Counterintelligence Programs   2,489
           Increase allows CI to uncover additional known/suspected
           collectors stealing U.S. Defense Technology, and to better
           inform DIB and U.S. Government officials of the threat to
           best defend the DIB. It also provides increased security
           awareness briefs to industry, joint team inspections at
           cleared facilities, and ability to respond to suspicious
           contact reports from industry; implements an initiative to
           provide CI countermeasures to foreign visit vulnerabilities;
           provides analytical support for coordination of

III. <u>**Financial Summary ($ in thousands):**</u>

C. Reconciliation of Increases and Decreases	Amount	Totals
countermeasures to assist in the detection and deterrence of Foreign Intelligence Services' directed espionage operations and terrorist activities targeting our critical national assets; increases the level of support and coordination with the FBI Domain Program and DC3 efforts to counter the cyber war; and provides analytical support to the Overseas Contingency Operation, Campaign Programs, Foreign Ownership Control Influence, and the Insider Threat. (FY 2011 Base: $25,087, +15 FTEs)		
4) Security Education Training and Awareness (SETA) Increase expands development of new security training courseware to include state-of-the art technologies that will significantly increase training capabilities that will reach the larger security community audience. (FY 2011 Base: $32,262, +11 FTEs)	2,428	
5) Management Headquarters Activities Increase supports additional personnel in support of core mission requirements(FY 2011 Base: $48,753, + 5 FTEs)	1,199	
9. Program Decreases		-35,803
a. Annualization of FY 2011 Program Decreases		
b. One-Time FY 2011 Increases		
1) One day Adjustment for decrease is for one less compensable workday in FY 2012.	-605	
c. Program Decreases in FY 2012		
1) Efficiency Baseline Review: The civilian hiring freeze is part of the DoD reform agenda to maintain with limited exceptions (noted above)the FY 2010 FTE level (FY 2011 Base: $136,104).	-26,268	

III. <u>**Financial Summary ($ in thousands):**</u>

C. Reconciliation of Increases and Decreases	Amount	Totals
2) Efficiency Baseline Review: The reduced travel initiative promotes alternative modes of communication instead of the costly physical transportation of DoD personnel. (FY 2011 Base: $8,454)	-4,786	
3) Efficiency Baseline Review: A reduction in the dependency on contractor support staff promotes the revitalization of the current DoD workforce by increasing skill sets among personnel. (FY 2011 Base: $45,220)	-3,744	
4) Efficiency Baseline Review: The baseline review empasized the need to emphasize critical priorities and eliminate lower priority functions and redundencies. (FY 2011 Base: $4,492)	-400	
FY 2012 Budget Request		**505,366**

IV. <u>Performance Criteria and Evaluation Summary</u>:

During FY 2010 the DSS developed and deployed an array of performance measures to gauge mission performance and transformation efforts. Formulated to satisfy both the OMB Circular A-11 and the DoD Balanced Scorecard requirements, the DSS identified and published complete descriptions of its twenty-six key measures in the DSS Performance Measures Catalog.

Efforts continue to focus on methods for the DSS to collect and manage supporting data in order to establish performance baselines. The DSS will continue to evolve and refine its performance measures to ensure senior leaders have the tools necessary to make sound decisions in the areas of risk and resource management.

The following performance measures were established and data collection begun to assess the performance of the DSS core mission.

1. <u>National Industrial Security Program (NISP)</u>

NISP Performance Measure #1: Facilities of Interest (FIL) Inspections Completed.

Comments: This performance measure for Industrial Security Field Operations (ISFO) measures the completion of cleared contractor facility inspections utilizing Facility of Interest (FIL) prioritization. The DSS recently transformed its methodology from a calendar-based inspection cycle for all facilities to a risk-based segregation of facilities. Higher risk facilities warrant more detailed scrutiny by applying an updated threat mitigation strategy and methodology to prioritize inspections based on

IV. <u>**Performance Criteria and Evaluation Summary**</u>:

quantitative risk management factor and serves as the agency's primary assessment of risk as it relates to the overall foreign threat to key technologies within cleared industry. The FIL was published July 1, 2009. The DSS will continue to update the FIL quarterly to reflect the latest threat information. The Fiscal Year 2010 inspection data is shown below. **Note:** The DSS is proactive in reducing backlog and increasing inspection rates. Switching facility inspection criteria to the FIL methodology created a onetime backlog that DSS is currently working to reduce and ultimately alleviate.

FY 10 Inspection Data By FIL		FY Inspections Completed	Facilities Overdue Inspections	Facilities Not Overdue Inspection	Total Amount of Facilities	% Facilities Not Overdue	GOAL	BELOW GOAL
	FIL1	847	0	840	840	100%	100%	0%
	FIL2	2886	0	2912	2912	100%	100%	0%
	FIL3	5248	2842	6710	9552	70%	90%	30%
	Total	8981	2842	10462	13304	79%	----	----

NISP Performance Measure #2: Average Information System Accreditation Cycle Time.

Comments: This output performance measure displays the average number of days for the DSS to issue an accreditation that enables a contractor information system to process classified information. Accreditation cycle time for each system processed is determined by comparing the date an information system security plan is received by the DSS to the date the DSS issues an accreditation for that system. The actual average number of days it takes to complete an accreditation is calculated by taking the combined number of calendar days it takes to complete all of the accreditations divided by the number of accreditations completed.

IV. Performance Criteria and Evaluation Summary:

Average Information System Accreditation (days)	Oct-09	Nov-09	Dec-09	Jan-10	Feb-10	Mar-10	Apr-10	May-09	Jun-10	Jul-10	Aug-10	Sep-10
Actual Average	31	22	28	21	30	21	17	18	21	18	27	24
YTD Average	31	27	27	26	26	26	24	24	23	23	23	23
# Over 45 days	56	49	72	49	66	81	40	55	99	60	77	65
Monthly Target	30	30	30	30	30	30	30	20	20	20	20	20

NISP Performance Measure #3: Percent of Initial Adjudications completed within an average 20 days.

Comments: This output performance measure for the DSS Personnel Security Clearance Program indicates the total number of initial adjudications processed by the Defense Industrial Security Clearance Office (DISCO) that contribute to a 20 day average divided by the total number processed by DISCO, expressed as a percentage. The DSS is required by the Intelligence Reform and Terrorism Prevention Act of 2004 to make a determination on at least 90 percent of applications for an initial personnel security clearance within an average of 20 days.

Initial Adjudications Completed in 20 Days	Oct-09	Nov-09	Dec-09	Jan-10	Feb-10	Mar-10	Apr-10	May-09	Jun-10	Jul-10	Aug-10	Sep-10
Target	20	20	20	20	20	20	20	20	20	20	20	20
Actual	24	13	6	10	15	18	20	22	30	33.6	33.6	31.3
# Completed for 20 Day Average	8,735	11,616	9,391	7,146	6,007	8,507	8,021	7,424	8,092	6,005	9,741	10,294
Total # Completed	9,706	12,907	10,435	7,941	6,675	9,453	8,913	8,249	8,992	6,672	10,823	11,438

NISP Performance Measure #4: Percent of Reinvestigation Adjudications completed within an average 30 days.

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IV. Performance Criteria and Evaluation Summary:

Comments: This output performance measure for the DSS Personnel Security Clearance Program indicates the total number of reinvestigation adjudications processed by the Defense Industrial Security Clearance Office (DISCO) that contribute to a 30 day average divided by the total number processed by DISCO, expressed as a percentage. The DSS is required by the Intelligence Reform and Terrorism Prevention Act of 2004 to make a determination on at least 90 percent of applications for reinvestigation of personnel security clearance within an average of 30 days.

Reinvestigation Adjudications Completed in	Oct-09	Nov-09	Dec-09	Jan-10	Feb-10	Mar-10	Apr-10	May-09	Jun-10	Jul-10	Aug-10	Sep-10
Target	30	30	30	30	30	30	30	30	30	30	30	30
Actual	6	8	4	7	16	18	20	23	31	40.8	49.6	69.6
# Completed for 30 Day Average	1,566	1,088	1,114	855	927	1,405	1,000	900	1,125	785	504	887
Total # Completed	1,741	1,209	1,238	950	1,031	1,562	1,112	1,001	1,251	872	560	986

2. Security Education Training and Awareness Program (SETA)

SETA Performance Measure #1: Requested FY 2010 Course Iterations Scheduled.

Comments: This performance measure is used for resource planning by comparing the number of classroom course iterations requested by the security community versus the DSS available resources to support. The SETA program is taking action to increase its capacity to accommodate the needs of the security community.

IV. <u>Performance Criteria and Evaluation Summary</u>:

Requested FY10 Course Iterations Scheduled	Oct-09	Nov-09	Dec-09	Jan-10	Feb-10	Mar-10	Apr-10	May-09	Jun-10	Jul-10	Aug-10	Sep-10
Cum Monthly Schedule	7	16	25	32	42	52	63	70	79	85	94	103
Requested Total for FY 10	157	157	157	157	157	157	157	157	157	157	157	157

SETA Performance Measure #2: Required Active Course Inventory.

Comments: This output performance measure provides the actual number of active courses in inventory compared with the total number of active courses required by the security community. The DSS established an FY 2010 goal of increasing inventory to 75% of the requirement. The DSS will apply future resources provided by the Department for the DSS Transformation Plan and Future Options Study to increase capacity to accommodate the needs of the security community.

Required Active Course Inventory FY10	Oct-09	Nov-09	Dec-09	Jan-10	Feb-10	Mar-10	Apr-10	May-09	Jun-10	Jul-10	Aug-10	Sep-10
Total Actual Active Course Inventory	53	53	54	57	58	61	62	63	63	64	66	71
FY10 Total Course Inventory Requirement	76	76	76	76	76	76	76	76	76	76	76	76

3.   <u>Counterintelligence (CI): CI Performance Measure #1: Annual Rate of Identification (efficiency/output).*</u> The DSS CI's FY2010 goal was to identify 1.5 known or suspected collectors within the cleared DIB per CI resource; the FY2011 goal was raised to 2.0 known or suspected collectors per CI resource.

Comments: The DSS CI mission is to identify known or suspected (k/s) collectors of classified DoD information and technology resident in the cleared DIB in support of the National Industrial Security Program (NISP). The CI Directorate assists cleared defense

IV. Performance Criteria and Evaluation Summary:

industry in recognizing and reporting foreign contacts and collection attempts and in applying threat-appropriate countermeasures; provides threat information to cleared industry; assists DoD Components with ensuring protection of critical DoD research and technology resident in the cleared DIB; and refers all cases of CI interest to the appropriate DoD or USG investigative or operational department or agency. DSS CI's FY2010 goal was to identify 1.5 known or suspected collectors within the cleared DIB per CI resource; the FY2011 goal was raised to 2.0 known or suspected collectors per CI resource.

	FY 2010				FY 2011		
	Dec-09	Mar-10	Jun-10	Sep-10	Dec-10	Mar-11	Jun-11
Rate of ID per Ave Available CI Resource*	.91	1.22	1.51	2.0	2.3		
Number of k/s Identified (most recent 12-months)	62	95	136	202	259		
Number of k/s identified FYTD	24	70	125	202	81		
Average Available (FTE/CTR) CI Resources	68	78	90	98	113		

* Calculating the Rate of Identification: The annual rate of identification is calculated monthly as of the last day of each month. To calculate the rate, divide the k/s collectors identified for the most recent 12-month period (as of the last day of a month) by the "average available" CI resources. "Average available resources" is the average number of FTEs/CTRs assigned to CI over the same 12-month period. FY 2009 was CI's base-line year for this measure. A slightly different calculation was used to arrive at the annual rate of identification; however a similar method was used.

IV. <u>Performance Criteria and Evaluation Summary</u>:

V. <u>Personnel Summary</u>	FY 2010	FY 2011	FY 2012	Change FY 2010/ FY 2011	Change FY 2011/ FY 2012
<u>Civilian End Strength (Total)</u>	<u>772</u>	<u>862</u>	<u>907</u>	<u>90</u>	<u>45</u>
U.S. Direct Hire	772	862	907	90	45
Total Direct Hire	772	862	907	90	45
<u>Civilian FTEs (Total)</u>	<u>772</u>	<u>862</u>	<u>907</u>	<u>90</u>	<u>45</u>
U.S. Direct Hire	772	862	907	90	45
Total Direct Hire	772	862	907	90	45
Average Annual Civilian Salary ($ in thousands)	122.3	132.2	132.2	9.9	0
<u>Contractor FTEs (Total)</u>	<u>138</u>	<u>124</u>	<u>110</u>	<u>-14</u>	<u>-14</u>

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IV. <u>Performance Criteria and Evaluation Summary</u>:

VI. <u>OP 32 Line Items as Applicable (Dollars in thousands)</u>:

OP 32 Line	FY 2010 Actuals	Change FY 2010/FY 2011 Price	Change FY 2010/FY 2011 Program	FY 2011 Estimate	Change FY 2011/FY 2012 Price	Change FY 2011/FY 2012 Program	FY 2012 Estimate
101 Exec, Gen'l & Spec Scheds	94,451	472	41,181	136,104	0	-16,056	120,048
199 Total Civ Compensation	**94,451**	**472**	**41,181**	**136,104**	**0**	**-16,056**	**120,048**
308 Travel of Persons	6,310	88	2,056	8,454	127	-2,364	6,217
399 Total Travel	**6,310**	**88**	**2,056**	**8,454**	**127**	**-2,364**	**6,217**
673 Def Fin & Accounting Svc	685	3	144	832	-147	159	844
699 Total DWCF Purchases	**685**	**3**	**144**	**832**	**-147**	**159**	**844**
771 Commercial Transport	896	13	-600	309	5	687	1,001
799 Total Transportation	**896**	**13**	**-600**	**309**	**5**	**687**	**1,001**
912 GSA Leases (SLUC)	4,761	67	801	5,629	84	1,674	7,387
914 Purch Com (non fund)	18,914	265	-12,225	6,954	104	10,320	17,378
915 Rents, Leases (non GSA)	4,755	67	3,384	8,206	123	-297	8,032
917 Postal Svc (USPS)	162	2	47	211	3	72	286
920 Supplies/Matl (non fund)	7,509	105	12,438	20,052	301	-9,505	10,848
921 Print & Reproduction	210	3	139	352	5	85	442
922 Eqt Maint Contract	5,344	75	-1,230	4,189	63	3,278	7,530
923 Facilities Maint by Contr	4,169	58	-638	3,589	54	4,241	7,884
925 Eqt Purch (Non-Fund)	6,307	88	-283	6,112	92	2,045	8,249
930 Other Depot Maint non fund	0	0	0	0	0	0	0
931 Contract Consultants	5,197	73	-84	5,186	78	-2,426	2,838
932 Mgt Prof Support Svcs	5,828	82	4,258	10,168	153	-7,119	3,202
934 Engineering & Tech Svcs	33,309	466	-3,909	29,866	448	-11,487	18,827
987 Other IntraGovt Purch	236,382	3,309	-2,845	236,846	3,553	16,583	256,982
989 Other Services	44,079	617	-9,012	35,684	535	-8,848	27,371

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IV. <u>Performance Criteria and Evaluation Summary</u>:

	FY 2010	Change FY 2010/FY 2011		FY 2011	Change FY 2011/FY 2012		FY 2012
<u>OP 32 Line</u>	<u>Actuals</u>	<u>Price</u>	<u>Program</u>	<u>Estimate</u>	<u>Price</u>	<u>Program</u>	<u>Estimate</u>
999 Total Other Purchases	**376,926**	**5,277**	**-9,159**	**373,044**	**5,596**	**-1,384**	**377,256**
Total	**479,268**	**5,853**	**33,622**	**518,743**	**5,581**	**-18,958**	**505,366**

* The FY 2011 Estimate column reflects the FY 2011 President's Budget Request.